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THE REPUBLIC OF UGANDA

NATIONAL WATER AND SEWERAGE CORPORATION

KAMPALA WATER - LAKE VICTORIA WATSAN PROJECT

CONSULTING SERVICES FOR DESIGN, PREPARATION OF TENDER DOCUMENTS AND CONSTRUCTION SUPERVISION OF KATOSI DRINKING WATER TREATMENT PLANT AND KAMPALA WATER SUPPLY NETWORK

PROCUREMENT REFERENCE NUMBER: NWSC/HQ/SRVCS/25-26/181650

LOCATION: KAMPALA, UGANDA – EAST AFRICA

SEPTEMBER 2026

1. Preamble

The Government of Uganda has applied for loan financing from the Agence Française de Développement ("AFD"), and intends to use part of the funds thereof for payments of Consultancy Services to undertake ***“Design, Preparation of Tender Documents and Construction Supervision of Katosi and Gaba Drinking Water Treatment Plants, and Kampala Water Supply Network.”***

The Project is part of the broader Kampala Water Lake Victoria Water and Sanitation Project and will cover the Greater Kampala Metropolitan Area - GKMA (i.e. Kampala City, Wakiso and Mukono Districts). The overall objective of the project is to improve Water Supply reliability in the GKMA.

The scope of works is envisaged to include the following;

- Construction of a new water treatment line of capacity 80,000 m³/day at the existing Katosi drinking water treatment plant
- Supplying, laying and testing of primary distribution pipelines that were excluded from the scope of works for the Kampala Supply Network project works (Package 2b)
- Supplying, laying and testing of a new treated water pumping main from Gaba I, primary reservoir and distribution system to distribute 25,000m³/day to the Entebbe Road corridor between Gaba and Kajjansi

It is envisaged that the works shall be implemented using two types of FIDIC conditions of contract i.e. Yellow Book for the new water treatment line at Katosi WTP and its associated works (*Component A*), while Red Book shall be used for all the upgrade works of the existing Gaba I Water Treatment Plant, treated water pumping main, primary reservoir and any other associated works (e.g. booster station) - *Component B*.

2. General Scope of Consultancy Services

The required consultancy services shall cover the following general scope, but not limited to:

2.1 Design Review

The consultant shall review and update existing data and documentation (Detail Design Report, Tender Documents, Resettlement Action Plan, Gender Action Plan and the Environmental and Social Impact Assessment (ESIA)) to include all new components that are relevant for realisation of project objectives. The consultant shall review the existing designs for the Katosi Drinking Water Treatment Plant and the Kampala Water Supply Network pipelines. The review shall include among others:

- a) Data review/preliminary studies and investigations aimed at collecting data/information which will form the basis for the conceptual design of the expanded Katosi Plant and detailed design of the Kampala Water Supply Network pipelines and other project components forming part of the scope of works.
- b) Confirmation of the design criteria used earlier
- c) Review lessons learned from the operation and maintenance of the existing Katosi and Gaba I water treatment plants, and incorporate improvements into the new conceptual and detailed designs for the two plants
- d) Review of any topographic and geotechnical surveys that were carried out for existing Katosi drinking water treatment plant and under the Kampala Water Supply Network pipeline project

2.2 Detailed and Conceptual Design

The consultant shall carry out the following tasks and prepare conceptual and detailed designs:

- a) Detailed topographic and geotechnical surveys of the project components
- b) Detailed design of electro-mechanical components considering network control requirements, power usage optimisation, and automation
- c) Optimisation of operation, maintenance, and investment costs
- d) Preparation of Conceptual Design for the Katosi Drinking Water Treatment Plant
- e) Detailed engineering designs for the Kampala water supply network pipelines
- f) Detailed Engineering Designs for the Gaba I Water Treatment Plant Improvements, associated pumping mains and storage tanks, Water distribution system to Kampala South and Mutungo System

At least three options shall be prepared and the best selected following a selection process considering cost of investment, life cycle cost of operation and maintenance, climate resilience, environmental and social considerations, etc.

2.3 Tendering Process

The tender documents shall be prepared and packaged in accordance with AFD Procurement guidelines and bidding documents templates. The consultant shall undertake the following tasks before and during the tendering process:

- a) Preparation of a proposal on the packaging/lotting of the works
- b) Preparation of Tender Documents (FIDIC Yellow Book) and Engineering cost estimates for the Katosi drinking water treatment plant in accordance with the agreed packages/lots
- c) Preparation of Tender Documents (FIDIC Red Book) and Engineering cost estimates for the Kampala Water Supply Network, Gaba I Water Treatment Plant Improvements, and Water Supply to Kampala South and Mutungo System in accordance with the agreed packages/lots
- d) Supporting NWSC in Procurement of a works Contractor (i.e. entire process from prequalification to works contract signature). The consultant shall, among others:
 - i. Attend the contractors' pre-bid meeting
 - ii. Support NWSC in providing appropriate responses to requests for clarification from bidders.
 - iii. Participate in the evaluation process, providing technical input during the evaluation of bids,
 - iv. Supporting NWSC in the preparation of the bid evaluation report.
 - v. Prepare and participate in pre-contract negotiations
 - vi. Support NWSC in the preparation of the works contract

2.4 Environmental and Social Impact Assessment (ESIA) and Resettlement Action Plan (RAP)/Valuation

The tender documents shall be prepared and packaged in accordance with AFD Procurement guidelines and

- a) Update of the existing Environmental and Social Impact Assessment (ESIA) or undertaking a new ESIA to cover any changes arising out of the design review and/or update, as well as the new designs.

- b) Update of the existing Resettlement Action Plan (RAP)/Valuation, or undertaking a comprehensive RAP, as well as its implementation. Relevant stakeholder consultations shall be undertaken as part of this process, the resultant of which shall be a detailed Stakeholder Management Plan (as part of the RAP), that clearly specifies the required institutional mechanisms, implementation arrangements and resources for proper engagement of the identified stakeholders
- c) Undertaking comprehensive Geotechnical Investigations for purposes of elaborating the tender documents
- d) Land valuation of the sites for the proposed installations (reservoirs, booster stations, pipelines, etc.), and wayleaves/easements for the pipeline routes
- e) Supporting NWSC in the land acquisition process for the proposed sites and wayleaves until the land is registered in the names of NWSC
- f) Carrying out a complete risk analysis of the project and proposing mitigation measures

2.5 Pre-commencement/Contractor Mobilisation activities, Construction Supervision and Superintendence over the Defects Notification Period

The Consultant shall supervise the construction contract as the “Engineer”. The terms and conditions for construction works shall be as stipulated in the latest harmonised version of the FIDIC conditions of contract. From a functional perspective, construction supervision shall address the entire scope of the works related to the Project, including re-instatement works, and, as applicable, structures for source protection. From a process perspective, construction supervision shall address three phases: (i) pre-construction and mobilisation phase, (ii) construction phase, and (iii) defects notification phase

It should be noted that the scope of services mentioned in this section may change and will be refined further at the proposals stage. Detailed Terms of Reference for the envisaged scope of services shall be provided to the shortlisted Applicants at the time of requesting for proposals.

The consultancy services shall be delivered within a period of sixty two (62) months – 9 months for all design reviews, conceptual design and detailed designs, 3 months for preparation of tender documents and 8 months for the works procurement process (including prequalification), 30 months for construction supervision of the works, and 12 months for superintending the defects notification period.

3. Invitation

The *National Water and Sewerage Corporation (NWSC)* hereby invites eligible consulting firms (“Consultants”) to express their interest in delivering the services described above.

Interested consultants should provide information demonstrating that they have the required qualifications and relevant experience to perform the services.

The Applicant shall submit only one application, either in its own name or as a member of a Joint Venture (JV). If an Applicant (including any JV/Consortium/Association member) submits or participates in more than one application, those applications shall be all rejected. However, the same sub-consultant may participate in several applications.

This Request for Expressions of Interest is only open to all eligible consulting firms.

Eligibility criteria to AFD financing are specified in sub-clause 1.3 of the "*Procurement Guidelines for AFD-Financed Contracts in Foreign Countries*", available online on AFD's website:

<http://www.afd.fr>.

3.1 Preparation of Applications for Expressions of Interest.

Interested Consultants must provide information evidencing that they are qualified and experienced to perform the above services. For that purpose, the Application should contain the following documents and information.

- (i) Cover Letter
- (ii) Powers of Attorney
- (iii) Certificates of Registration or Incorporation.
- (iv) In case the applicant is comprised of more than one firm, a Joint Venture/Consortium Agreement or a Letter of Intent to execute a JV agreement if the application is successful,
- (v) Declaration of submitting a proposal
- (vi) Statement of affiliation
- (vii) Statement of Integrity duly signed by the applicant's representative. If the applicant is a JV/Consortium, the statement of integrity should be signed by all members.
- (viii) Organisation structure of the applicant.
- (ix) Certified statements of financial capacity of the applicant showing a minimum average annual turnover of at least EUR 10 million over the last three years. If the applicant is a JV/Consortium, the lead member should meet this criteria.
- (x) A valid certificate in quality management systems from an international standard certification body (*ISO 9001 QMS Certificate or equivalent*); If the applicant is a JV/Consortium, the lead member should meet this criteria.
- (xi) General experience references
- (xii) Specific experience references
- (xiii) References of experience in Sub-Saharan Africa
- (xiv) References of assignments undertaken under the funding of International Financing Institutions
- (xv) Association with a local entity (optional): Applicants are encouraged to associate with local consultancy firms.

3.1.1 Cover Letter

The cover letter should clearly describe the purpose of submission of the application and should be duly signed by an authorised representative of the applicant. The authorised representative should have powers of attorney of the applicant.

The cover letter should contain the firms's name, address, contact person, telephone and email. Should a co-operation between firms be proposed for this assignment, the form of co-operation should be stated.

3.1.2 Powers of Attorney

The Powers of Attorney (PoA) of the authorised representative shall be registered with Uganda Registration Services Bureau (URSB) if executed in Uganda. If executed outside Uganda, they shall be notarized by notary public, authorising signature of the application on behalf of the applicant.

For JVs/consortium, each member or partner shall submit a registered PoA if drawn and signed in Uganda; or a notarised PoA if drawn and signed outside Uganda, nominating a representative to conduct all business on its behalf during the preparation of the application, bidding process and contract execution in case of award of the contract.

In addition, a specimen signature of the authorised representative must be shown in the PoA.

3.1.3 Certificate of Registration or Incorporation

In case an applicant or a member of the Joint Venture is not currently registered in Uganda, the applicant/member shall submit a certificate of registration or incorporation issued by an authority of its country, or a copy of its trading licence, or equivalent. For locally registered companies, a copy of a valid trading licence must be provided.

3.1.4 Joint Venture/Consortium/Association

Consultants may associate with other firms in the form of a Joint Venture or a sub-consultancy to enhance their qualifications. The form of Association, where applicable, should be indicated in the expression of interest.

If the applicant is a JV/Consortium, the expression of interest shall include: a copy of the JV/Consortium Agreement entered into by all members, or a letter of intent to execute a JV/Consortium Agreement, signed by all members together with a copy of the Agreement proposal,

The agreement shall be legally binding on all partners or members and should state that:

- i)** The partners or members shall jointly submit a proposal;
- ii)** Nominate a lead member or partner to act for and on behalf of all members or partners;
- iii)** The authorized representative of the lead member or partner who has been granted power of attorney shall sign the proposal;
- iv)** In the event that the proposal is successful, the contract shall be executed in the name of the Joint Venture/Consortium and the authorized representative shall sign the contract agreement; and

- v) All partners shall be jointly liable and the lead member should be severally liable for the implementation of the contract in accordance with the contract terms.

In the absence of this document, the other members will be considered as sub-consultants. Experiences and qualifications of Subconsultants are not taken into account in the evaluation of the applications. Experiences and qualifications of Subconsultants are not taken into account in the evaluation of the applications.

Applicants are required to register the Consortium/JV Agreement with the Uganda Registration Services Bureau (URSB) prior to Consultancy Contract Signature

3.1.5 Declaration of Submitting a Proposal

The applicant should submit a declaration of submitting a proposal in case of being short-listed, duly signed by the authorized representative.

3.1.6 Statement of Affiliation

The applicant should state any affiliations to any other firms that are either in or have been in existence, and what kind it is/was

3.1.7 Statement of Integrity

Applicants shall submit a Statement of Integrity to observe the highest standard of ethics during the bidding process and the execution of the contract. Applicants should be aware that any fraudulent or corrupt activities shall lead to their immediate disqualification from participation in the selection process and will be subject to further legal investigation. The said declaration shall be submitted and duly signed by the applicant including all co-operating parties (JV/Consortium members and proposed sub-consultants) according to the form in **ANNEX 1**.

3.1.8 Organisation structure

All applications shall contain an organisational structure indicating number and qualifications of in-house personnel, including home office, backstopping and quality assurance personnel.

3.1.9 Certified statements of financial capacity

The applicant must meet a minimum average annual turnover of at least EUR 10 million over the last three years. If the applicant is a JV/Consortium, the lead member should meet this criteria. The Applicant (in case of a Consortium/JV the lead firm) shall prove its solvency by presenting confirmation of still available guarantee limit/credit line (original letter from bank) of EUR 1,000,000 (or equivalent in another currency) from its principal bank, which must not be more than six months old at the time of bid submission deadline.

Proof documents (balance sheets and profit and loss accounts) of the last three years (2023, 2024 and 2025) issued by a certified auditor must be provided and in English language. Copies of documents in other languages must be accompanied by an original authorised English translated version.

Sub-consultants should not present their financial statements.

3.1.10 Valid Certificate in Quality Management Systems

Each applicant shall submit a valid certificate in quality management systems from an international standard certification body (*e.g ISO 9001 Quality Management Certificate or equivalent*). If the Applicant is a JV/Consortium, the lead member's QMS certificate shall be sufficient

3.1.11 General Experience References

Applicants shall submit references of previously undertaken assignments that form part of general experience in the last 10 years on water supply projects, including design reviews, preliminary designs, detailed designs and construction supervision in water supply. Documented evidence of the submitted references, in form of completion certificates or extracts of the contract agreements for ongoing assignments shall be provided.

Note: References without documented evidence shall not be considered.

3.1.12 Specific Experience References

Applicants shall provide references of at least **four (4)** similar assignments completed in the last 10 years (maximum 15 references which must be more than 90% complete on a date 28 days before Application submission deadline). Compliance requirements are described in the table below:

Criteria		Compliance Requirements			
Subject	Requirement	Single Entity	Joint Venture/Consortium (existing or intended)		
			All Parties Combined	Each Member	One Member
Number of Similar Assignments	At least four (4) <u>similar assignments completed in the last 10 years (maximum 15 references which must be more than 90% complete on a date 28 days before Application submission deadline)</u> .	Must meet requirement	Must meet requirement	At least one (1) similar assignment	N/A

Determination of the similarity of the experiences will be based on:

- a) **The Consultancy contract assignments, each of Euro 2 million and above.**
- b) **The nature of the services and similarity of the experiences will be based on:**
 - i) Design review, detailed design, preparation of tender documents (FIDIC Red Book), construction supervision, and superintendence of the Defects Notification Period of drinking water treatment plants and distribution networks of at least diameter 300mm, and total length of at least 40km (≥ 300 mm diameter), in cities of population not less than 200,000 inhabitants. The submitted projects must be at least 90% completed at a time 28 days prior to the submission deadline of the Expressions of Interest (EoI). Proof/evidence of all references, and the 90% of completion for the ongoing projects shall be provided. **Feasibility studies, masterplans, studies, or similar assignments shall not be accepted.**
 - ii) Conceptual design, preparation of tender documents (FIDIC Yellow Book), construction supervision, and superintendence of the Defects Notification Period of drinking water treatment plant water treatment plants (treated water capacity not less than 20,000 m³/day). Acceptable references shall be Works which are at least 90% completed at a time 28 days prior to the submission deadline of the Expressions of Interest (EoI). Proof/evidence of all references, and the 90% of completion for the ongoing projects shall be provided. **Feasibility studies, masterplans, studies, or similar assignments shall not be accepted.**

c) **The area of expertise: Water supply projects in Cities with populations above 200,000 at the time of the assignment.**

d) **The experience of IFI's procedures:** The submitted references must have been funded by an International Financing Institution such as AFD, KfW, JICA, WB, AfDB, EIB, etc);

Documented evidence of the submitted references in form of completion certificates or extracts of the contract agreements for ongoing assignments shall be provided. For the ongoing projects, the 90% of completion should be proven. Such proof shall include official letters from the Clients for the cases of ongoing assignments. References without such proof/evidence shall be ignored and not be evaluated. Each reference shall also be accompanied by a written and signed statement on official letter head from the authorised relevant clients/client representatives confirming the information in the reference on client paper head. This statement should specifically indicate how the reference meets the key requirements (e.g. pipe length, diameters, % completion, date of completion, JV/Single firm, % share, scope of services, etc). In addition, contact details of the relevant clients/client representatives (email, phone, address, website, name/title/designation of contact person) shall be given for purposes of further verification of the presented experience. References without the required statement and contact details shall be ignored and shall not be evaluated. Any form of misrepresentation will lead to disqualification of the Application. Any references beyond the maximum number of 15 will be ignored. The consultant shall provide summary of references in the format shown in Table 3.1 - ANNEX 2, and detail references sheets (not more than 15 references) in the format shown in Table 3.2 - ANNEX 2.

Note: References without documented evidence shall not be considered

3.1.13 References of Experience in Sub-Saharan Africa

Applicants shall provide references of at least two (2) similar assignments in sub-saharan Africa. Similarity will be determined following the criteria described in 3.1.12 above.

Documented evidence of the submitted references, in form of completion certificates or extracts of the contract agreements for ongoing assignments shall be provided

Note: References without documented evidence shall not be considered

3.1.14 References of Assignments undertaken under the funding of International Financing Institutions

Applicants shall provide references of assignments undertaken under the funding of an international financing institution - IFI (e.g. AFD, KfW, JICA, WB, AfDB, EIB, etc.), with at least one (1) undertaken under AFD funding

3.1.15 Association with a Local Consultancy Firm

Applicants are encouraged to associate with local consultancy firms.

4. Evaluation of Applications

Applications shall be evaluated in two stages namely, preliminary evaluation and detailed examination.

4.1 Preliminary Evaluation

This stage will be on a pass/fail basis, to assess applicants that are eligible in accordance with the criteria set in **ANNEX 3**. Applications that do not meet the eligibility criteria shall be rejected.

4.2 Detailed examination

The experiences and qualifications of the applicants shall be assessed under this stage. Scoring of the applications will be done in accordance with the scoring matrix set in **ANNEX 3**.

Ranking of the applications will then be done among the submitted applications who shall have attained a minimum score of 35 out of 50. *National Water and Sewerage Corporation* will shortlist a maximum of six (6) Applicants, to whom the Request for Proposals to carry out the Services shall be sent.

The consultant will be selected in accordance with the Quality and Cost Based Selection method (QCBS) set out in the guidelines for the procurement of AFD financed contracts in foreign countries, at Request for Proposal Stage.

Experiences and qualifications of sub-consultants shall not be taken into account in the evaluation of the applications

5. Submission of Applications

The Expressions of Interest (One Paper Original, Three Paper Copies, Plus Electronic Document on a Flash Disk) must be submitted to the address below no later than 10:30 hrs (East African Time) the 6th day of October 2026. The packages must be clearly marked “Expression of Interest for the design, preparation of tender documents and construction supervision of Katosi and Gaba I drinking water treatment plants and Kampala water supply network.”

The submission shall be made to;

**The Senior Manager Procurement
National Water and Sewerage Corporation,
Plot No. 43/49, 6th Street, Industrial Area-Kampala
P. O. Box 7053, Kampala, Uganda
Telephone: +256-313-315864/801**

Interested Applicants may obtain further information at the address below during office hours (Monday to Friday; 8 00 hrs to 17 00 hrs -East African Time, except on public holidays)

**National Water and Sewerage Corporation,
Plot No. 18/20, 6th Street, Industrial Area-Kampala
P. O. Box 7053, Kampala, Uganda
Telephone: +256-313-315864/801**

eMail: moses.osujo@nWSC.co.ug, copy to joe.kamanyi@nWSC.co.ug and anthony.ojok@nWSC.co.ug

The deadline for enquiries/requests for further information is 17:00 hrs (East African Time) on 21st September 2026.

6. Opening Applications

Applications shall be opened in the presence of those who may wish to attend at the following address;

Location: NWSC, Procurement and Disposal Unit Offices, Plot No. 43/49, 6th Street, Industrial Area, Kampala, Uganda

Time: 11:00hrs (EAT)

Date: 6th October 2026

Please note that to ensure a free and fair competition, sending EoIs by email is not allowed. EoIs sent by email will not be evaluated. All EoIs are to be submitted sealed and they will be opened on the deadline of submission, and at the address in **5 (Submission of Applications)** above.

Applicants will be advised, in due course, of the results of their applications. Only Applicants shortlisted under this procedure will be invited to submit proposals.

This Request for Expressions of Interest is also available at the following addresses:

- 1) <https://www.nWSC.co.ug/notices/>
- 2) <https://tenders.afd.dgmarket.com/>

APPENDIX TO REQUEST FOR EXPRESSION OF INTEREST

(formats not to be altered)

ANNEX 1: Statement of Integrity, Eligibility and Environmental and Social Responsibility

Reference name of the Bid/Proposal/Contract signed¹ _____ (the “Contract”)

To: _____ (the “Contracting Authority”)

1. We recognize and accept that Agence Française de Développement (“AFD”) only finances the projects of the Contracting Authority subject to its own conditions, as set out in the Financing Agreement that directly or indirectly binds it to the Contracting Authority. The Contracting Authority retains exclusive responsibility for the preparation and implementation of the procurement process and performance of the Contract. Consequently, no legal exists between AFD and our company, our joint venture, and our subcontractors. The Contracting Authority may also mean the Client, Employer or Purchaser, as the case may be, for the procurement of works, goods, plants, equipment, consulting services , or non-consulting services.
2. We hereby certify that neither we, nor any person acting on our behalf,² nor any of the members of our joint venture, nor any of our subcontractors, are in any of the following situations:
 - 2.1 Being bankrupt, wound up or ceasing our activities, having our activities administered by the courts, having entered into receivership, or being in any analogous situation arising from any similar procedure;
 - 2.2 Having been, within the past five years, subject to a final administrative sanction, a final conviction issued by a competent authority, or any other non-court resolution³ having notably an extinctive effect on public action, either (i) in the country where we are constituted, (ii) in the country of performance of the Contract, (iii) in the context of the procurement or performance of an AFD-financed Contract, (iv) pronounced by a European Union institution, or (v) pronounced by a competent authority in France, for:
 - a) Prohibited Practices, as defined in Article 6.1 below, or for any other offence committed in the context of the procurement or performance of a Contract (in the event of such sanction, conviction or non-court resolution, we may attach additional information to this Statement of Integrity, such as a compliance program, showing that we (or the person acting on our behalf, the member of our joint venture, or our subcontractor) consider that this sanction, judgement or non-court resolution is not relevant in the context of the Contract, where applicable);
 - b) Participation in a criminal organization, terrorist offences or offences related to terrorist activities, child labor, or other offences related to human trafficking;
 - c) Having created an entity in a different jurisdiction (i) with the the intention of avoiding tax or social obligations, or any other legal obligation applicable in the jurisdiction of

¹ In the case of a Contract already signed to be refinanced.

² Directors, (including any person who is a member of the administrative management or supervisory body, or with powers of representation, decision or control), employees, or agents (be them declared or not).

³ Including the Judicial Public Interest Agreement (CJIP), a decision following an Appearance on Prior Recognition of Guilt (CPRC), a negotiated resolution agreement, or any other similar form of transaction terminating criminal proceedings.

its registered office, central administration or principal place of business, or (ii) for being an entity created with the intention of avoiding such obligations;

- 2.3 Having been subject within the past five years to a Contract termination fully settled against us for significant or persistent breach of our contractual obligations during the performance of the Contract, unless this termination was challenged and dispute resolution is still pending or has not confirmed a full settlement against us;
 - 2.4 Having been declared ineligible by one of the multilateral development banks signatories to the Mutual Recognition Agreement of 9 April 2010⁴ (in the event of such ineligibility, we may attach additional information to this Statement of Integrity showing that we consider that such ineligibility is not relevant in the context of the Contract, where applicable);
 - 2.5 Not having fulfilled our fiscal obligations relating to the payments of our taxes or social contributions in accordance with the legal provisions of our country of incorporation or of the country of the Contracting Authority;
 - 2.6 Having created falsified documents or committed misrepresentation when providing the information requested by the Contracting Authority in the context of the procurement and award process for this Contract.
3. We hereby certify that neither we, nor any party acting on our behalf⁵, nor any members of our joint venture, , , nor any of our subcontractors, nor any of our direct or indirect shareholders, nor any of our subsidiaries acting with our knowledge or consent:
- a. Are directly or indirectly subject to, controlled by a person or an entity subject to, or acting in the name or on behalf of a person or entity subject to **individual sanctions measures** adopted by the United Nations, the European Union and/or France;
 - b. Are directly or indirectly subject to, controlled by a person or an entity subject to, or acting in the name or on behalf of a person or entity subject to **sectoral sanctions measures** adopted by the United Nations, the European Union and/or France;
 - c. Are ineligible for the implementation of the Project owing to any other international sanctions measures pronounced by the United Nations, the European Union or France.
4. We hereby certify that neither we, nor any party acting on our behalf,² nor any of the members of our joint venture, nor any of our subcontractors, are [nor have been (*in the case of refinancing for a Contract already awarded*)] in any of the following situations of conflict of interest:
- 4.1 Being a shareholder controlling the Contracting Authority or a subsidiary controlled by the Contracting Authority, unless the resulting conflict of interest has been brought to the attention of AFD and resolved to its satisfaction.
 - 4.2 Having business or family relations with a member of the Contracting Authority's services involved in the procurement process or the supervision of the resulting Contract, unless the resulting conflict of interest has been brought to the attention of AFD and resolved to its satisfaction;
 - 4.3 Controlling or being controlled by another applicant, bidder or consultant, or being under common with another applicant, bidder or consultant, receiving subsidies from another

⁴ World Bank, Inter-American Development Bank, African Development Bank, Asian Development Bank, and European Bank for Reconstruction and Development.

⁵ Directors, (including any person who is a member of the administrative management or supervisory body, or with powers of representation, decision or control), employees or agents (be them declared or not).

- applicant, bidder or consultant, or granting subsidies to another applicant, bidder or consultant, directly or indirectly, having the same legal representative as another applicant, bidder or consultant, maintaining direct or indirect contacts with another applicant, bidder or consultant allowing us to (i) have given and/or give access to information contained in our respective applications, bids or proposals likely to distort competition (ii) influence them, or (iii) influence the decisions of the Contracting Authority;
- 4.4 Being engaged for a consulting services mission which, by its nature, is or may be in conflict with the mission envisaged for the Contracting Authority;
 - 4.5 Having prepared ourselves, being or having been associated with a natural or legal person who has prepared, specifications, terms of reference or other documents that have been used for the procurement process in question, and that contain provisions likely to favor an application, bid or proposal;
 - 4.6 Having or having had access to, having prepared ourselves, being or having been associated with a natural or legal person who has or has had access to or prepared, specifications, plans, calculations, studies, or other documents that have not been communicated to all the applicants, bidders or consultants in the context of the present procurement procedure, and which thereby confer us an unfair competitive advantage;
 - 4.7 In the case of a procurement procedure for works, plants, equipment or goods, having been selected ourselves or proposed to be selected (or any of our subsidiary companies having been or being proposed to be selected) to carry out supervision or inspection of the services in the context of this Contract.
5. If we are a state-owned entity or a public enterprise, to participate in a competitive procurement process, we certify that we have legal and financial autonomy and that we operate under commercial laws and regulations.
 6. In the context of the procurement and performance of the Contract:
 - 6.1 Neither we, nor any party acting on our behalf,² nor any members of our joint venture, nor any of our subcontractors, have committed or shall commit a Prohibited Practice as defined in the document entitled “AFD Group’s Policy to Prevent and Combat Prohibited Practices” available on AFD’s Website.⁶
 - 6.2 Neither we, nor or any party acting on our behalf,² nor any members of our joint venture, nor any of our subcontractors, shall acquire or provide [have acquired or provided (*in the case of refinancing for a Contract already awarded*)] in sectors subject to an embargo by the United Nations, the European Union or France.
 7. We hereby undertake to, and we undertake to ensure that any party acting on our behalf,² any members of our joint venture, and any of our subcontractors undertake to:
 - 7.1 Comply with the environmental standards recognized by the international community, including the international conventions for the protection of the environment and, in particular, take all reasonable steps to avoid or limit negative effects on vegetation, biodiversity, soils, groundwater and surface water, and on persons and property resulting from pollution, noise, vibration, traffic and other effects resulting from our activities, in accordance with the laws and regulations applicable in the country of performance of the Contract.
 - 7.2 Implement measures to mitigate environmental and social risks when they are indicated in the environmental and social management plan provided by the Contracting Authority, and

⁶ For informational purposes, this policy can be accessed via the following link: <https://www.afd.fr/en/combating-corruption>.

ensure that the emissions, surface discharge and effluents produced by our activities respect the limits, specifications or requirements applicable to the Contract.

- 7.3 Respect the rights of workers related to wages, working hours, rest periods and vacations, overtime, minimum age, regular payments, compensation and benefits, in accordance with the standards recognized by the international community, including the fundamental conventions of the International Labour Organization (ILO), in accordance with the laws and regulations applicable in the country of performance of the Contract; indicate these elements in a document annexed to the employment contracts of our employees and made available to the Contracting Authority; and respect and facilitate the rights of workers to organize themselves and set up a complaints management mechanism for direct or indirect workers.
- 7.4 Implement practices for non-discrimination and equal opportunities, and ensure the prohibition of child labor and forced labor.
- 7.5 Keep a record for each member of the local staff recording the hours worked by each person, the type of work, the wages paid and the training undertaken, and ensure that these records are available at all times to be inspected by the Contracting Authority and the authorized representatives of the government, in accordance with the laws and regulations applicable to the protection of personal data in the country of performance of the Contract.
8. We, any party acting on our behalf,² the members of our joint venture, our subcontractors, our direct or indirect shareholders, and our subsidiaries, authorize AFD to conduct investigations and, in particular, inspect the documents and accounting records relating to the procurement and performance of the Contract, including, but not limited to, our internal processes and rules related to the respect of international sanctions pronounced by the United Nations, the European Union and/or France, and to have them verified auditors appointed by AFD.
9. We declare that we have paid, or that we shall pay, the commissions, benefits, fees, gratuities or charges relating to the procurement procedure or the performance of the Contract to the following third party/parties (for example, an intermediary/agent)(*):

Name of beneficiary	Contact details	Purpose	Amount (indicate the currency)

(*): If no amount has been paid or is to be paid, indicate "None".

10. We undertake to promptly inform the Contracting Authority, which shall inform AFD, of any change of circumstance regarding the sections above, including in case of any sanctions or embargo measures adopted by the United Nations, the European Union and/or France, after we have signed the present Statement.

Name: _____ In the capacity of: _____

Duly empowered to sign in the name and on behalf of:⁷ _____

Signature: _____

Dated:

⁷ In the case of a joint venture, insert the name of the joint venture. The person signing the bid, proposal or application on behalf of the bidder, consultant or applicant, shall attach a power of attorney from such bidder, consultant or applicant.

ANNEX 2: Detailed Project References

Experience

Please summarize using the format in Table 2.1 below, the **major relevant projects related to this project** carried out in the past **10** years by the legal entity or entities making this application. The number of references to be provided must not exceed **15** for the entire Application.

Table 2.1: Summary of references

Ref. No. (maximum 15)	Project title	Country	Dates (start/end)	Total Contract Amount	Company position/ Contract share (%); Also indicate whether Sole, Lead Firm	Source of funding	Technical characteristics (with respect to criteria) – Highlight key points	Scope of work/ Activities performed
1								
2								
....								

The detailed references should be provided using format in Table 2.2 below.

Table 2.2: Detailed references

Ref. No. (maximum 20)	Project title							
Name of legal entity	Country	Overall project value (EUR)	Proportion carried out by legal entity (%) Also indicate whether Sole, Lead Firm	No of staff provided <i>(indicate also whether Key/other, permanent/freelance)</i>	Name/Address (email/telephone/contact person) of client	Origin of funding	Dates <i>(start/end, Month/year)</i>	Name of partners if any
...	...	...	...	...	...	...	...	...
Detailed Narrative Description of project <i>(Highlight key points)</i>						Description/Type of services provided <i>(Highlight key points)</i>		
Names of key staff involved 1..... 2....						...		
Contact details of the relevant clients/client representatives (for purposes of verification of the presented experience): 1. Name/title/designation of contact person 2. eMail 3. Telephone 4. Physical address 5. Website								

Note: The format Table 2.1 and 2.2 are the minimum presentation. The Applicant may, in addition to the formats in the above two tables, summarise their references in any other way they deem fit to enhance their presentation in another additional table.

ANNEX 3: Evaluation Matrix for Expressions of Interest

The tables below shall be used to establish the shortlist of Applicants

EVALUATION CRITERIA FOR EXPRESSION OF INTEREST		
Eligibility		Methodology
#	Criteria	
1	Cover Letter	Pass / fail
2	Power of Attorney	Pass / fail
3	Certificate of Registration or Incorporation	Pass / fail
4	JV Agreement / Letter of intent for JVs, Consortiums or Associations	Pass / fail
5	Declaration of Submitting a Proposal	Pass / fail
6	Statement of Affiliation	Pass / fail
7	Statement of Integrity	Pass / fail
8	Organisation structure	Pass / fail
9	Certified statements of financial capacity showing a minimum average annual turnover of at least EUR 10 million in the last three years (2023, 2024, 2025).	Pass / fail
10	<u>Limit/credit line</u> (original letter from bank) of EUR 1,000,000 (or equivalent in another currency) from Applicant's principal bank, which must not be more than six months old at the time of bid submission deadline	Pass / fail
11	A valid certificate in quality management systems from an international standard certification body (<i>e.g ISO 9001 QMS Certificate or equivalent</i>)	Pass / fail
12	References of general experience as defined in section 3.1.11	Pass / fail
13	References of specific experiences as defined in section 3.1.12	Pass/fail
14	Reference of experiences in Sub-Saharan Africa as defined in section 3.1.13	Pass/fail
15	Reference of experiences with IFI as defined in section 3.1.14.	Pass/fail

Scoring Matrix		Methodology
References		
16	References of previously undertaken assignments that form part of general experience in the last 10 years carrying out design reviews, preliminary designs, detailed designs and construction supervision of water supply projects	One (1) point for each of the references containing any of design review, preliminary design, detailed design and construction supervision (maximum 10 points).
17	Reference of at least four (4) similar assignments in design review, detailed design, tender document preparation, and construction supervision of water treatment plants, water transmission and/or distribution pipelines, reservoir tanks, pumping stations of consultancy contract amounts Euro. 2 million and above in the last 10 years.	Two (2) points for each of the Four (4) references, One (1) point for each extra reference (maximum 15 points).
18	Reference of at least two (2) similar assignments in design review, detailed design, tender document preparation, and construction supervision of water treatment plants, water transmission and/or distribution pipelines, reservoir tanks, pumping stations of contract amounts Euro. 2 million and above in the last 10 years in sub-saharan Africa	Two (2) points for each of the two (2) references, One (1) point for any extra reference (maximum 10 points).
19	References of assignments undertaken under the funding of an international financing institution (IFI),	Two (2) points for presence of an AFD financed project assignment, One (1) point for each of the extra IFI reference (maximum 5 points)
20	Availability of appropriate skills amongst in-house experts.	5 points for inhouse availability of all the required areas of expertise
21	Association with a local firm/consultant	Five (5) points
TOTAL		50 Points